

Eco Mark Product Category No. 132

**“Toner Cartridges Version2.1”  
Certification Criteria**

- Applicable Scope-

- A. Original Toner Cartridge
- B. Recycled Toner Cartridge

Established: July 15, 2015  
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Japan Environment Association  
Eco Mark Office

NOTE: This document is a translation of the criteria written in Japanese. In the event of dispute, the original document should be taken as authoritative.

## Eco Mark Product Category No.132

## “Toner Cartridges Version2.1” Certification Criteria

Japan Environment Association  
Eco Mark Office

### 1. Purpose of Establishing Criteria

Omitted.

### 2. Applicable Scope

Of the equipment subject to No.155 “Imaging Equipment Such as Copiers, Printers, etc. Version 1”, this product category shall cover toner cartridges used in equipment of the electro-photographic system.

#### A. Original Toner Cartridge

Toner cartridges manufactured or commission manufactured by a manufacturer of an equipment manufacturer of a main body and distributed.

#### B. Recycled Toner Cartridge

Toner cartridges recycled by refilling used toner cartridges with toner and replacing expendable parts, as necessary.

### 3. Terminology

Toner cartridge	Cartridge for printing composed of two or more of the following; drum, photo development unit, and toner container filled with toner.
Plastic	Material composed of single or multiple polymers, plus additives, fillers, etc. which are added to the polymer(s) to give specific characteristics.
Polymer	High molecular material which is the main constituent of plastic.
Casing parts	A part comprised of external covers that protect a device from environmental influences and that prevents users from contacting moving, light-emitting or high-voltage components
Recycled plastic	Plastic composed of post-consumer material and pre-consumer material
Pre-consumer material	Material or rejected product generated from a disposal route in a product manufacturing process, excluding those that are generated in a material manufacturing process and that are reused as raw materials within the same process (plant).
Post-consumer material	Materials or products disposed of after they have been used as goods.

Reuse/material recycling rate	Among mass of toner cartridges which have been used, disposed of, and collected, the mass rate of parts that are reused or material recycled. However, cartridges, which are published as being not subject to collection on the web site or in the catalog, etc., are excluded from those “collected toner cartridges”.
Material recycling	Recycling of material, excluding the recovery of energy, conversion to oil, gasification, blast furnace reduction, conversion to chemical materials by coke oven.
Recovery rate	To toner cartridges that have been used, disposed of, and collected, a mass ratio of parts that are reused, material recycled, energy recovered, converted to oil, gasified, or subject to blast furnace reduction or conversion to chemical materials by coke oven. However, cartridges which are released as being not subject to collection on the web site or in the catalog, etc., are excluded from those covered by “collected toner cartridges”.
Prescribed constituent	A material component added for the intended purpose of giving certain characteristics to a product. Impurities of 0.1wt% or less that are technically unavoidable in the manufacturing process are not included.
Biocidal product	Any substance consisting of, one or more active substances, with the intention of destroying, deterring, rendering harmless, preventing the action of, or otherwise exerting a controlling effect on any harmful organism by any means other than mere physical or mechanical action, and being synonymous with a biocidal product defined in (EU)No528/2012.

#### 4. Certification Criteria and Certification Procedure

The corresponding boxes in the Attached Certificates shall be checked/filled in, stamped with the applicant company seal and submitted.

[General rule]

This general rule applies to criteria items of 4-1-2.(9)-(10)[Emission of Hazardous Substances]].

Analysis and testing laboratories shall develop ISO/IEC 17025 (corresponding JIS Q17025).or quality system including sampling and analysis and shall also be run in accordance with ISO9001(corresponding JIS Q9001) (not essential to be certified).

Applicants shall bear the expenses for preparing documents and for the analyses.

Special requirements, if performed at the laboratories of manufacturers: if competent authorities are monitoring the sampling and analysis process, if the analyses and tests are authorized, or if the manufacturer has developed a quality system for sampling and analysis and has received the ISO 9001 (corresponding JIS Q9001) certification, or if the manufacturer has ISO 9001-compliant internal regulations concerning its quality system for sampling and analysis and performs measurements

in line with those internal regulations, the laboratory of the manufacturer is authorized to perform analysis and tests.

note) When overseas Ecolabelling is acquired by utilizing the Mutual Recognition Agreement (MRA), it is required that testing is conducted at a testing laboratory certified by ISO/IEC 17025.

#### 4-1. Environmental Criteria and Certification Procedure

##### 4-1-1 Resource Saving and Resource Recycling

- (1) Toner cartridge used for the equipment shall conform to Appendix 1 “Product Design Checklist”. However, for any recycled toner cartridges, this item shall apply to parts planned to be replaced.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall enter requirements in Form 1 “Product Design Checklist” and submit it.

- (2) Each individual plastic casing part of more than 25g shall be made of one single polymer or polymer blends. In addition, all plastic parts of more than 25g used for casing parts shall be made of four or fewer types of mutually separable polymers or polymer blends. However, for any recycled toner cartridges, this item shall apply to parts planned to be replaced.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall submit a list (Form 2) of plastic materials and labels, etc. in use.

- (3) Collection systems for toner cartridges shall be available and the systems are effective.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. In addition, explanatory documents for the collection systems of toner cartridges (including a collection method and records of actual collection values for about past three years) shall be submitted.

- (4) Systems shall be available for the material recycling of toner cartridges. Reuse/material recycling rate of collected toner cartridge parts shall be 60% or more for the original toner cartridges, and 75% or more for the recycled toner cartridges of the total weight of collected used products (excluding toner).

Note that the reuse/material recycling rate of original toner cartridges may be equal to or higher than 50% till June 2018.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate and the applicant shall submit explanatory documents describing the total weight of toner cartridges (excluding the toner), reuse and material recycling rates of toner cartridge parts, and the purposes of reuse and material recycling, etc. [Form 3](#)

- (5) The recovery rate of collected toner cartridges shall be 95% or more of the total weight of collected used products (excluding toner). Parts of collected toner cartridges which cannot be recovered shall not be simply landfilled but be appropriately processed after the weight reduction.

In addition, packaging materials, waste toner, and other wastes (including cartridges not subject to collection) that are collected together with cartridges shall be recycled as much as possible, and then remainders shall be appropriately processed.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate, and the applicant shall submit explanatory documents explaining the recovery rate and demonstrating that the system for processing/disposing of any part that cannot be recovered has been established (capacity of processing, content of processing, etc.). [Form 3](#)

- (6) If paper to be used falls under a. to c. listed below, based on the provisions on quality control of each company, use of at least one or more type of paper shall be possible:
- a. Applicable scope “PPC paper, business forms and coated paper for color printers (paper for ink jet printing)” of Eco Mark Product Category No. 106 “Paper for Communication Version 3”
  - b. Applicable scope “Printing paper (Excluding drawing papers included in the “writing and art papers” category designated in the “Paper and Pulp Statistics Annual Report” by the Ministry of Economy, Trade and Industry.) of Eco Mark Product Category No. 107 “Printing Paper Version 3”
  - c. [Information Paper] “Copier paper, forms, coated inkjet color printer paper”, and [Printing Paper] “Non coated printing paper, coated printing paper” of “2. Paper” of the Law Concerning the Promotion of Procurement of Eco-Friendly

### Goods and Services by the State and Other Entities

However, this item is not applied to cartridges used for equipment which only uses continuous forms, large format forms or photo / postcard paper.

[Certification Procedure]

Compliance with this item, and the name of the paper manufacturer and product brand shall be indicated in the Attached Certificate.

- (7) Packaging or packing of equipment shall be as simple as possible and give consideration to ease of reuse and environmental burden when packaging or packing materials are disposed of. Specifically, the product shall comply with “Packaging Material Check List” of Appendix 2.

[Certification Procedure]

Compliance with this item shall be indicated in the Attached Certificate. In addition, “Packaging Material Check List” of Form4 shall be submitted.

#### 4-1-2 Restriction and Control of Hazardous Substances

- (8) The content rate of lead, mercury, cadmium in the product, hexavalent chromium compounds, Polybrominated biphenyl (PBB) or Polybrominated diphenylether (PBDE) in the cartridge shall comply with Annex II (Table 2) of the amended RoHS Directive (2011/65/EU). However, this does not apply to those substances specified in Annex III.

In addition, the cartridge shall have no flame retardant of short-chain chlorinated paraffin (the number of chained C is 10 to 13 and contained chloride concentration is 50% or over) added as formulated components.

For any recycled toner cartridges, this item shall apply to parts planned to be replaced.

Table 1. Content rate

Material	Content rate[wt%]
Lead and its compounds	≤ 0.1
Mercury and its compounds	≤ 0.1
Cadmium and its compounds	≤ 0.01
Hexavalent chromium compounds	≤ 0.1
Polybrominated biphenyl (PBB)	≤ 0.1
Polybrominated diphenylether (PBDE)	≤ 0.1

\* The content rate refers to the content proportion in a homogeneous substance (minimum unit that can be separated by rule with totally uniform composition).

[Certification Procedure]

Compliance with this item shall be indicated in the Attached Certificate. In

addition, it is recommended that checking is performed based on JIS Z 7201 “Management of chemical substances in products - Principles and guidelines”.

- (9) For equipment loaded with a cartridge, values obtained with the measuring method defined by RAL-UZ171 shall confirm to Table 2 for emission of hazardous substances during operation of monochrome equipment as well as during the monochrome and color operation phases of color equipment. The large format equipment shall be measured in accordance with Table 2-1.

If the emission rate during the color operation phase of color equipment satisfies the monochrome criteria, measurements during the monochrome operation phase can be omitted.

Note that for equipment on which measurements took place before April 2014, test results according to the Blue Angle RAL-UZ122 shall also be acceptable.

Table 2. Permissible Test Values for Emission Rates of TVOC, etc.

		Emission Rate (mg/h)			
		≤ A3+*	A2≤ and ≤ A0+*	>A0+*	≤ A3+*
		Monochrome Printing	Colour Printing	Monochrome / Colour Printing	Monochrome / Colour Printing
Print Phase (= Pre-operating + Print Phase)	TVOC	≤ 10	≤ 18	≤ 39	≤ 55
	Benzene	< 0.05	< 0.05	< 0.2	< 0.3
	Styrene	≤ 1.0	≤ 1.8	≤ 4.7	≤ 6.6
	Unidentified Single Substances VOC	≤ 0.9	≤ 0.9	≤ 2.0	≤ 2.8
	Dust**	≤ 4.0	≤ 4.0	≤ 16	≤ 22

\* Maximum Print Width

\*\* Suspended particulate matters detected according to RAL-UZ171 Appendix S-M

Table 2-1. Measurement conditions of emissions test

Type	Form	Test copy
Large format	A4 or full-size which can be printed with the product	A4 copy or A4 test enlarged to the full-size that can be printed with the product.

[Certification Procedure]

The applicant shall submit the Attached Certificate (including requirements for testing laboratories) [Form 5](#).

If submission is difficult at the time of application, the applicant shall submit a signed consent form indicating that “a certificate indicating actual measurements should be submitted by the time the Eco Mark agreement on use is entered, and that if criteria are not met, the agreement should not be entered”.

The applicant shall conform to RAL-UZ171 for a test classification. If there is more than one device applicable to the applying cartridge, the test may be carried out at least on a device with the highest product speed. In addition, when there is more than one device applicable to the applying cartridge and the maximum print width to be applied differs, the test may be carried out at least on a device having the largest total print area on a single side in unit time. However, a standard value of the section whose maximum print width is smallest shall be applied.

<Supplementary item>

If the applicant has verified from the test results of multiple samples that emissions of TVOC etc. (Table 2) from the cartridge applying for certification are equal to those from the equipment using the same cartridge for the toner component, the applicant may submit the test results of such cartridge only when accepted by the Eco Mark Examination Committee.

- (10) For electrophotographic equipment (250L or less), values obtained with the measuring method defined by RAL-UZ171 shall meet the following for emission of fine particles (Fine particles, FP: particles having the diameter of 0.1 - 2.5 $\mu$ m) and particles in the size region of ultra-fine particles (Ultrafine particles, UFP: particles having the diameter of 0.1 $\mu$ m or smaller) during operation of monochrome equipment and during the color operation phase of color equipment. The large format equipment shall be measured in accordance with Table 2-1.

In addition, equipment whose equipment volume exceeds 250L shall be measured and a result thereof shall be reported, according to the specification of RAL-UZ171. In addition, for equipment of 250L or less, reporting of results of measurements carried out according to the provisions of RAL-UZ171 may also be accepted till June 2018.

$$\text{Particle emission rate (PER}_{10\text{ PW}}) \leq 3.5 \times 10^{11} \text{ [Particles/10 min]}$$

[Certification Procedure]

The applicant shall submit the Attached Certificate (including requirements of a laboratory) [Form 5](#).

If submission is difficult at the time of application, the applicant shall submit a signed consent form indicating that “a certificate indicating actual measurements should be submitted by the time the Eco Mark agreement on use is entered, and that if criteria are not met, the agreement should not be entered”.

The applicant shall conform to RAL-UZ171 for a test classification. If there is



more than one device loaded with the applied cartridge, the test may be carried out at least on a device with the highest product speed.

<Supplementary item>

If the applicant has verified from the test results of multiple samples that emissions of FP and UFP from the cartridge applying for certification are equal to those from the equipment using the same cartridge for the toner component, the applicant may submit the test results of such cartridge only when accepted by the Eco Mark Examination Committee.

- (11) Cadmium, lead, mercury Selenium and their compounds shall not be added as prescribed constituents to photoconductor drums used in the product.

[Certification Procedure]

Compliance with this item shall be indicated in the Attached Certificate. In addition, it is recommended that checking is performed based on JIS Z 7201 “Management of chemical substances in products - Principles and guidelines”

- (12) Toner cartridges shall be sealed to prevent toners from leaking during storage, transport, and handling.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate.

- (13) Each substance listed in the following a. to f. shall not be added to toners as prescribed constituents.

- a. Carcinogenic substances according to Category 1A, 1B or 2 of Table 3.1 in Annex VI of EU Regulation 1272/2008/EC
- b. Mutagen according to Category 1A, 1B or 2 of Table 3.1 in Annex VI of EU Regulation 1272/2008/EC
- c. Reproductive toxic substances according to Category 1A, 1B or 2 of Table 3.1 in Annex VI of EU Regulation 1272/2008/EC
- d. Persistent, bio-accumulative and toxic substances (PBTs) or very persistent or very bio-accumulative substances (vPvBs) according to the criteria in Annex XIII of the REACH Regulation
- e. For other reasons, particularly warned substances listed in REACH Regulation, Article 59, para. 1 (so-called “list of SVHC candidates”).
- f. Substances which require labelling of the mixtures that contains the following H or R phrases according to Table 3.1 or 3.2 in Annex VI of EU

Regulation 1272/2008/EC, or those which meet the requirements for homogeneous mixture classification:

H 370 (R 39/23/24/25/26/27/28) Causes damage to organs

H 371 (R 68/20/21/22) May cause damage to organs

H 372 (R 48/25/24/23) Causes damage to organs

H 373 (R 48/20/21/22) May cause damage to organs

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall submit a certificate, etc. issued by a toner manufacturer (Form 6) and Safety Data Sheet (SDS) of colourants. If the SDS does not contain any description of the Ames test result, the applicant shall submit a test report. The test report shall include at least a name of the testing institute, name of the tested substances, testing period, used strain (5 strains) and test result.

- (14) Cadmium, lead, mercury, hexavalent chromium, nickel or compounds thereof shall not be added as prescribed constituents in toners (excluding complex compounds of high molecular weight nickel as a colouring agent). For example, production-related contaminations of heavy metals caused by production, such as cobalt or nickel oxides, are to be kept as low as technically and economically possible (request for minimization).

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall submit a list (Form 6) issued by a toner manufacturer indicating whether the corresponding substance is added or not.

- (15) Toners shall not use azo colouring agents (dyes and pigments) that generate amines listed in Table 3 due to the reduction of one or more azo groups (according to the official test method corpus based on the German Law on Foods and Sundries Article 35: Amtliche Sammlung Von Untersuchungsverfahren nach 35 LMBG).

Table 3. Amines that must not be generated due to the reduction of azo groups  
(REACH Regulations: Annex XVII Appendix 8 Entry 43 –Azocolourants

–List of aromatic amines)

Substances	CAS No.
1 4-aminobiphenyl	92-67-1
2 Benzedrine	92-87-5
3 4-chloro- <i>o</i> -toluidine	95-69-2
4 2-naphthylamine	91-59-8

5	<i>o</i> -aminoazotoluene	97-56-3
6	2-amino-4-nitrotoluene	99-55-8
7	<i>p</i> -chloroaniline	106-47-8
8	2,4-diaminoanisole	615-05-4
9	4,4'-diaminodiphenylmethane	101-77-9
10	3,3'-dichlorbenzidine	91-94-1
11	3,3'-dimethoxybenzidine	119-90-4
12	3,3'-dimethylbenzidine	119-93-7
13	4,4'-diamino-3,3'-dimethyldiphenylmethane	838-88-0
14	<i>p</i> -cresidine	120-71-8
15	4,4'-Methylene-bis – (2-Chloroaniline)	101-14-4
16	4,4'-oxydianiline	101-80-4
17	4,4'-4-Aminophenyl Sulfide Bis	139-65-1
18	<i>o</i> -toluidine	95-53-4
19	2,4-diaminotoluene	95-80-7
20	2,4,5-trimethylaniline	137-17-7
21	<i>o</i> -anisidine	90-04-0
22	4-amino-azo-benzen	60-09-3

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall submit a list (Form 6) issued by a toner manufacturer indicating whether the corresponding substance is added or not.

- (16) If any biocidal product is used in toners, only constituents listed in Annex I of “REGULATION (EU) No 528/2012 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 22 May 2012 concerning the making available on the market and use of biocidal products” shall be added as prescribed constituents. If use of a substance not listed in the Annex is planned, addition thereof will be permitted provided that an application for approval is submitted based on said directive. However, the addition will not be permitted if it is determined that the application should be rejected.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. The applicant shall submit a list (Form 6) issued by a toner manufacturer indicating whether the corresponding substance is added or not.

- (17) In manufacturing the applying product, related environmental laws and regulations and pollution control agreement (hereinafter referred to as the “Environmental Laws, etc.”) must be followed with respect to air pollution, water contamination, noise, offensive odor, and emission of hazardous substances in the area where the plant performing the final manufacturing process is located.

In addition, the state of compliance with the Environmental Laws, etc. for the last five years from the date of application (whether there is any violation) must be reported. If there is any violation, it is necessary that proper remedies and preventive measures have been already taken, and the related Environmental Laws, etc. must thereafter be followed appropriately.

[Certification Procedure]

With respect to the compliance with the Environmental Laws, etc. in the area where the plant performing the final manufacturing process is located, a certificate issued by the representative of the manufacturer of the applying product or the manager of the relevant plant (entry or attachment of the list of names of the Environmental Laws, etc.) must be submitted. Form 7

In addition, it is necessary to report whether there is any violation during the last five years, including a violation subject to administrative punishment or administrative guidance, and if there is, the following documents in a and b must be submitted:

- a. With respect to the fact of violation, guidance documents from administrative agencies (including order of correction and warning) and copies of written answers (including those reporting causes and results of correction) to such documents (making a series of progress clear);
- b. Following materials (copies of recording documents, and so on) concerning the management system for compliance with the Environmental Laws, etc. in 1)-5):
  - 1) List of the Environmental Laws, etc. related to the area where the plant is located;
  - 2) Implementation system (organizational chart with entry of roles, etc.);
  - 3) Document stipulating retention of recording documents;
  - 4) Recurrence prevention measures (future preventive measures);
  - 5) State of implementation based on recurrence prevention measures (result of checking of the state of compliance, including the result of onsite inspection).

#### 4-1-3 Information Provision to users

(18) Either the package of a cartridge product, printed matter to be contained in the same package thereof, or the instruction manual of the main equipment product shall include a description of the details of “a.” through “k.” below so that they can easily be seen by the user.

In addition, when giving the description, the Applicant shall attempt to save

paper resources as far as visibility to the user is not compromised.

- a. Name of the product for which application is filed
- b. Name of the applicant company (it may be the company's brand name or the like)
- c. Telephone number for contact
- d. Proper handling method
- e. Treatment in cases where the toner has attached to the hand or in the event that it has entered the eyes, mouth, etc.
- f. The product should be kept in a place out of reach of children.
- g. Collection method after use
- h. Information on after-sales service for users
- i. Not opened with force
- j. In the event toner dust leaks out due to inappropriate handling, avoid inhaling the dusts and contact with skin.
- k. Indication that this is a recycled toner cartridge (applicable only to recycled toner cartridges)

[Certification Procedure]

Copies of relevant portions of packages, printed documents enclosed with the cartridge, or instruction manuals of the main body device shall be submitted.

(19) The main parts of the cartridge shall contain a description of the items "a" and "b" below so that they can easily be seen by the user.

- a. Name of the product for which application is filed
- b. Name of the applicant company (it may be the company's brand name or the like)

[Certification Procedure]

Photos, samples, etc. of the applicable parts of the main parts containing the designated information shall be submitted.

(20) Information shall be provided on the series of equipment on which the products can be used in their packages, printed matter for advertisement, or websites so that they can easily be seen by the user. Users shall be provided with a means for obtaining the latest information on the series of equipment on which the products can be used.

[Certification Procedure]

Among the packages, printed matter for advertisement, URLs of the applicable parts of websites, etc. containing a description of the designated information, necessary material shall be submitted.

#### 4-2 Quality criteria and certification procedures

(21) The printing capacity of the recycled toner cartridge shall be equivalent to or higher than an original cartridge of the same type.

Specifically, the printing capacity ratio by any calculation method of the following shall not fall below 90% in all test samples.

[Calculation 1]

- Number of sheets which can be printed with original cartridge: C1

$$C1 \text{ (in sheets)} = ((M1-M2) / (M1-M3)) \times 1000$$

M1: Weight of original cartridge

M2: Weight of original cartridge after use

M3: Weight of toner cartridge after printing on 1000 A4-size sheets at 5% of the effective range

- Number of sheets which can be printed with a recycled cartridge: C2

$$C2 \text{ (in sheets)} = ((M4-M5) / (M4-M6)) \times 1000$$

M4: Weight of original recycled cartridge

M5: Weight of recycled cartridge after use

M6: Weight of toner cartridge after printing on 1000 A4-size sheets at 5% of the effective range

$$\text{Printing capacity percentage (\%)} = (C2/C1) \times 100$$

[Calculation 2]

Under the same conditions/environment, check by performing a use-up print test with an original cartridge and a recycled cartridge, respectively, on an A4 size sheet with the effective range of 5%.

C1 (sheet) = Number of printable sheets when printing takes place with an original cartridge under the above conditions

C2 (sheet) = Number of printable sheets when printing takes place with a recycled cartridge under the above conditions

$$\text{Printing capacity percentage (\%)} = (C2/C1) \times 100$$

[Certification Procedure]

The calculated printing ability shall be indicated in Form 8.

Tests shall be repeated three times or more, but the number of machines that are used in the test is not specified. The machine used for the calculation of C1 and C2 shall be the same. “After use” prescribed in M2 and M5 of [Calculation 1] means:

when white lines occur due to toner shortage after the start of test, the cartridge is removed and shaken 5 or 6 times to even the toner; the test is resumed after this work; and the point when white lines occur the second time is defined as “after use”. The weight of original and recycled cartridges at this point is defined as M2 and M5, respectively.

Note that for the print test, charts of ISO/IEC19752 (monochrome) and ISO/IEC24712 (color) may be used.

- (22) Quality shall be managed by the manufacturer’s own standard, and guarantee for quality shall be provided for any defective quality such as defective printing, leakage of toner, nozzle clogging, and main body breakage. In addition, quality control in the manufacturing stage shall be implemented sufficiently based on the quality control system.

The Applicant shall also submit a list of parts to be replaced for the recycled toner cartridges, and in-house standard values for the amount of toner to be filled as well as a method of management thereof.

[Certification Procedure]

Compliance with this item shall be indicated in the attached certificate. In addition, A certificate issued by the manager of the plant for manufacturing products shall be submitted, certifying that, as for the quality control system in the manufacturing stage, quality control in the manufacturing stage is implemented based on the manufacturer’s own standard, and that only the products that have been qualified in quality inspection shall be delivered.

Note that if ISO 9001 or certification of any other quality management system has been acquired, submission of a copy of the approval certificate can replace this certificate. The Applicant shall also submit a list of parts to be replaced for the recycled toner cartridges, and in-house standard values for the amount of toner to be filled as well as a method of management thereof.

## 5. Product Category, Indication and Others

Omitted.

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July 15, 2015	Established (Version2.0)
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The certification criteria of this product category will be revised as necessary.



## **Appendix 1 Product Design Check List**

### **(Common to No.132“Toner Cartridges Version2”, No.142 “Ink Cartridges Version2”)**

#### ■Intention of Product Design Checklist

The cartridge must be easily recyclable. The “Product Design Checklist” includes indices for improving ease of recycling by reference to the Blue Angel RAL-UZ171 in Germany. The indices are based on the following design concepts:

#### [Structural Design and Joining Techniques]

- Non-use of any joint (e.g. glued or welded) that does not allow release of the joint between different materials unless it is technically required
- Use of easily detachable mechanical joints in the cartridge
- Easy disassembly of the cartridge which can be carried out by hand or by machine

#### [Material Selection]

- Casing parts: In order to limit the variety of materials, individual plastic casing parts have to consist of one single polymer or a polymer blend. All plastic parts used in the plastic casings shall consist of up to four separable polymers or polymer blends.
- Large-sized plastic casing parts must be designed in a way that the contained plastics can be reused for the production of high-quality durable products by applying available re-cycling techniques.
- The use of coatings for parts is to be reduced to a minimum. If applied, an appropriate reason for this use is to be given. Galvanic coatings are not permissible.
- Devices shall use, or shall be permitted to use, recycled plastics.

#### [Utilization of used cartridge]

- Operators shall gather information on reutilization of parts used in the cartridge and take advantage of it in product designing (e.g., information on a disassembly method, reuse of parts, and recycling).
- Any device that prevents reuse of a cartridge must not be attached to the cartridge.

#### ■Items

- 1) Equipment must be configured to be suitable for recycling, and must satisfy all Must (M) items of the requirements in the following groups. In addition, it is desirable to satisfy the Should (S) items, although they are not requirements for certification.

- A: Design and Joining Technique**  
**B: Selection and Marking of Materials**  
**C: Longevity**

## 2) Terminology

Casing parts	Parts comprising external covers that protect equipment from environmental influences and that prevents users from contacting moving, light-emitting or high-voltage components.
Assembly	Unit composed of at least two components linked by power or design.
Electric/electronic assemblies and parts	Assemblies (parts) which include at least one electric or electronic component.
Colourant	Mixture in which dyes, pigments and other additives are dissolved or dispersed in a carrier material such as a polymer matrix (e.g. for toners), liquids (e.g. for inks), gels or waxes (e.g. for solid inks).
Recycling	In this checklist, the term refers to utilization as materials for used (plastic) parts.
Reused parts	Parts that have previously been used, and reused.

## 3) Category classification

Any requirements are classified as either “M” or “S”.

Must-Requirement (M)	Requirements which must be met
Should-Requirement (S)	Requirements which should be met

Requirement		Applicable scope	Category	Compliance	Remarks
<b>A: Design and Joining Technique</b>					
A1	Are assemblies made of mutually incompatible materials separable or connected by separation aids?	toner cartridge, ink cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> Not applicable because there is no replacement part.	Connections between casing and electric/electronic assemblies are important. Their separability is a prerequisite for separate reuse/recycling of assemblies and materials and for a quick and reliable separation of components containing hazardous substances. Glued nameplates (i.e. company logos and stickers) are also included. The term “separation aids” refers to predetermined breaking points, for example. [No.155V1 Appendix1 A1]
A2	Are connections that must be detached easy to find?	toner cartridge:M ink cartridge:S	M S	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> Not applicable because there is no replacement part.	Connections that have to be detached during disassembly must be easy and quick to find. If they are hidden, this should be stated on the product (e.g. by laser labeling or injection moulding). [No.155V1 Appendix1 A3]
A3	Has consideration been given to the point of application and the work space required for disassembly?	toner cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> Not applicable because there is no replacement part. or this	The point of application is where the force of the tool is to be transmitted to the connecting element. Then, in order to enable disassembly operation to be performed with the tool, there must be adequate work space. This requirement especially covers snap-on connections, which, in

Requirement		Applicable scope	Category	Compliance	Remarks
				is not an applicable cartridge	contrast to the assembly process, can often be loosened with the tool. [No.155V1 Appendix1 A5]
<b>B: Selection and Marking of Materials</b>					
B1	If labels, etc. to be attached to plastic casing parts are difficult to separate, they must be made of the same material as the plastic parts, or any material that does not prevent recycling.	toner cartridge, ink cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> with no labelling	In order to recycle as high-quality materials, labels, etc. must be easily separable from plastic parts to which they are attached, or it is desirable that they are made of same materials (compatibilization). [No.155V1 Appendix1 B1]
B2	Is the variety of materials used for plastic parts having similar functions limited to one kind?	Casing parts of 25g or more	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> not applicable because there is no replacement part or applicable product	For instance, "similar functions" refer to functionality such as "impact resistance" and "abrasion resistance". The smaller the varieties of materials are, the more efficient the separation and recycling processes are. This requirement does not apply to parts that are demonstrably reused. [No.155V1 Appendix1 B2]
B3	Are parts made of the same plastic material colored uniformly or compatibly?	toner cartridge, ink cartridge	S	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> Not applicable because there is no replacement part	Adoption of uniform colours for parts made of the same plastic material improves possibilities to introduce material cycles for recycling. 'Compatible colouring' refers to a same colour with different degrees of brightness (e.g. grey and anthracite). In addition, if different types of plastic materials have different colours, this "colour code" facilitates reliable type-specific sorting of the plastic materials. [No.155V1 Appendix1 B3]
B4	Has the coating of plastic parts been limited to a minimum?	toner cartridge, ink cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> Not applicable because there is no replacement part	'Coating' refers to a layer of coating material, vapor-deposited layer, and print. Galvanic coatings are not permissible. Large-area coating layer, vapour-deposited layer and print on plastic parts require additional treatment for removal if the materials are to be recycled subsequently. Reasons must be given for coatings of special parts. Laser markings are not considered as prints referred to herein. This requirement does not apply to demonstrably reused parts. It is considered, however, that the product conforms to this item if the coating materials that do not prevent recycling are used, or coating works are conducted with consideration for occupational safety and health of coating workers and reduction of environmental burden. "Coating materials that do not prevent recycling" refers to the coating materials that have compatibility with materials of parts to be coated, and do not prevent high-level material recycling (horizontal recycling for in-house products). 'Considerations for occupational safety and health of coating workers' means that a coating workshop is ventilated/vented and

Requirement		Applicable scope	Category	Compliance	Remarks
					workers wear protective gear. 'Considerations for reduction of environmental burden' includes the measures to control VOC emission into the air, such as the removal equipment, the devices in coating process, or replacement by low-VOC coating materials. [No.155V1 Appendix1 B4]
B5	Are recyclable materials and material composites used?	toner cartridge, ink cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> Not applicable because there is no replacement part	'Recyclable material' means that recycled material identical to the original material (recycling at the original level) can be manufactured. This item asks the intention and goals upon designing and does not ask whether recycling is actually conducted. [No.155V1 Appendix1 B5]
B6	Is partial use of recycled plastic material permitted?	toner cartridge, ink cartridge	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> Not applicable because there is no replacement part	'Permitted' means that a material that meets the requirements provided in the specifications may be used if it is available. 'Partial' means some available plastic components are appropriate. (This does not require all available components.) A closed cycle is realized only if the manufacturer has already used recycled materials, or if they announce the commitment to do so in the product specifications. [No.155V1 Appendix1 B6]
B7	Is the percentage of recycled material to the total plastic weight constantly 5% at a minimum?	Casing parts of toner cartridge, Casing parts of ink cartridge	S	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> Not applicable because there is no replacement part	'Total plastic weight' means the total weight of all applicable plastic parts. 'Recycled material' means recycled plastic pellets themselves, and not plastic parts that contain recycled plastics. The source of recycled pellets does not matter. In other words, the recycled plastic does not have to be recycled pellets obtained from parts in used printers or copiers; it may include recycled plastic from other product families on the market. Using appropriate recycled materials considerably contributes to resource saving and the use within the scope of availability is strongly desirable. [No.155V1 Appendix1 B7]
B8	Are plastic parts weighing 25 g or more and having a flat surface larger than 200 mm <sup>2</sup> marked in accordance with ISO 11469, taking ISO 1043 into consideration?	Entire unit (Plastic parts contained in reused complex assemblies are not included.)	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> Not applicable because there is no replacement part or applicable part	The marking of plastics shall enable all recycling companies to sort plastics by type. [No.155V1 Appendix1 B10]
<b>C:長寿命性</b>					
C1	Can cartridges for colourants be replaced separately for each colour?	toner cartridge, ink cartridge	S	<input type="checkbox"/> Yes/ <input type="checkbox"/> No/ <input type="checkbox"/> Not applicable due to the single-color cartridge	The separate replacement by color contributes to saving of materials. [No.155V1 Appendix1 C3]
C2	Can cartridges be reused?	toner cartridge, ink	M	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	Constructive measures shall not prevent reuse. Specifically, any IC chip or other device for preventing

Requirement	Applicable scope	Category	Compliance	Remarks
		cartridge		reuse of a cartridge must not be attached to the cartridge. [No.155V1 Appendix1 C4]
<b>Are all "M" requirements satisfied and "Yes" answers given to them?</b>		<b>M</b>	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	

## Appendix 2 Packaging material checklist

### ■List of packaging material used for the product.

Indicate a name, weight, ratio of recycled materials in use of packaging materials that are used per cartridge.

No.	Packaging material used for the product	weight[g]	Ratio of recycled material in product
1			%
2			%
3			%
<b>Total</b>			

Entry examples of the packaging materials in use: cardboard, polyethylene, foamed polystyrene, pulp mold.

### ■Packaging material checklist

It is determined that the product conforms to the criteria if it implements (“Yes”) all requirements (excluding Should items).

No.	Requirement	Compliance	Remarks						
1	Is the product designed giving consideration to weight reduction/volume reduction? Specifically, whether a comparison of weight reduction or volume reduction, etc. with the packaging materials used for conventional (or standard) machine of the same type (the same size/volume)	<input type="checkbox"/> Yes/ <input type="checkbox"/> No  Comparison with packaging materials used for conventional machine <table border="1" style="margin-left: 20px;"> <tr> <td>Name of conventional machine</td> <td></td> </tr> <tr> <td>Rate of weight reduction</td> <td></td> </tr> <tr> <td>Rate of volume reduction</td> <td></td> </tr> </table> You may enter any of the weight reduction rate or volume reduction rate. <input type="checkbox"/> No conventional machine of the same type is present.	Name of conventional machine		Rate of weight reduction		Rate of volume reduction		
Name of conventional machine									
Rate of weight reduction									
Rate of volume reduction									
2	Is the product designed giving consideration to use of recycled materials? (Waste paper, recycled plastic, etc.)	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	If any recycled material is used, indicate it in the above list.						
3	Is the product such designed that sharing of materials is promoted?	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	It is desirable to share materials by products of a same company or standardize packaging materials used for a same product.						
4	Is the product designed giving consideration to selection of a material that is easy to recycle or reuse?	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	It is desirable to select a material that consumers can easily send to recycling, etc.						
5	If dissimilar materials are used in combination, is the product such designed that separation of parts is easy?	<input type="checkbox"/> Yes/ <input type="checkbox"/> No <input type="checkbox"/> No combined use of dissimilar materials	Dissimilar materials herein stated refer to metals and plastics, paper and plastics, etc., and do not mean a difference by a type of plastic.						
6	Whether materials are indicated according to the regulations or JIS standard, etc., so that the product can be easily recycled or reused.	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	It is necessary to provide an appropriate indication so that consumers can send the product to recycling, etc.						
7	Are materials to be used in packaging selected so that use of any chemical substances which affect the environment is avoided or reduced? (Non-use of polymers containing halogens, CFC, HCFC, etc. (Appendix 3))	<input type="checkbox"/> Yes/ <input type="checkbox"/> No	If any chemical substance that affects the environment is used, it will be a problem when the product is recycled or disposed of.						
8	Is there a system for collection and reuse or recycling of packaging materials?	[Should] <input type="checkbox"/> Yes/ <input type="checkbox"/> No	As stated in the considerations of Act for Promoting Green Purchasing, it is desirable that the product has a collection/recycling system.						

**Appendix 3 Substances Specified in “Packaging material checklist” No.7**

CFC5s	Group I, Annex A of Montreal Protocol	Trichlorofluoromethane	Dichlorotetrafluoroethane
		Dichlorodifluoromethane	Chloropentafluoroethane
		Trichlorotrifluoroethane	
Other CFCs	Group I, Annex B of the same Protocol	Chlorotrifluoromethane	Pentachlorotrifluoropropane
		Pentachlorofluoromethane	Tetrachlorotetrafluoropropane
		Tetrachlorodifluoroethane	Trichloropentafluoropropane
		Heptachlorofluoropropane	Dichlorohexafluoropropane
		Hexachlorodifluoropropane	Chloroheptafluoropropane
	Group II, Annex B of the same Protocol	Carbon Tetrachloride	
	Group III, Annex B of the same Protocol	1,1,1-Trichloroethane	
HCFC	Group I, Annex C of the same Protocol	Dichlorofluoromethane	Dichloropentafluoropropane
		Chlorodifluoromethane	Chlorohexafluoropropane
		Chlorofluoroethane	Pentachlorofluoropropane
		Tetrachlorofluoroethane	Tetrachlorodifluoropropane
		Trichlorodifluoroethane	Trichlorotrifluoropropane
		Dichlorotrifluoroethane	Dichlorotetrafluoropropane
		Chlorotetrafluoroethane	Chloropentafluoropropane
		Trichlorofluoroethane	Tetrachlorofluoropropane
		Dichlorodifluoroethane	Trichlorodifluoropropane
		Chlorotrifluoroethane	Dichlorotrifluoropropane
		Dichlorofluoroethane	Chlorotetrafluoropropane
		Chlorodifluoroethane	Trichlorofluoropropane
		Chlorofluoroethane	Dichlorodifluoropropane
		Hexachlorofluoropropane	Chlorotrifluoropropane
		Pentachlorodifluoropropane	Dichlorofluoropropane
		Tetrachlorotrifluoropropane	Chlorodifluoropropane
Trichlorotetrafluoropropane	Chlorofluoropropane		